Evaluation of Influence of Gender, Occupational Injury, and Smoking on Chronic Low Back Pain of Facet Joint Origin: A Subgroup Analysis

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The prevalence of persistent low back pain secondary to involvement of lumbosacral facet joints has been described in controlled studies as varying from 15% to 52% based on types of population and settings studied. Previous studies have shown variances in elderly populations, and postlumbar laminectomy patients. But no variations were observed in obese patients or patients with somatization disorder. Based on the individual results, it appears that facet joint pain may be less prevalent in patients with occupational injury and more prevalent in women and smokers.

This analysis was based on a combination of the results of two previous studies with a total number of 320 patients to evaluate the influence of gender, occupational injury and smoking on prevalence of facet joint pain. Facet joints were investigated with diagnostic blocks initially using lidocaine 1%, followed by bupivacaine 0.25%, usually 2 to 4 weeks apart in these studies.

The prevalence of facet joint pain in men was 38% (95% CI, 29% to 47%) compared to 43% (95% CI, 36% to 50%) in women; 43% (95% CI, 33% to 53%) in non-smokers, compared to 41% (95% CI, 30% to 52%) in heavy smokers; and 28% (95% CI, 18% to 38%) in occupational injury patients compared to 44% (95% CI, 36% to 52%) with a history of gradual onset. False-positive rates varied from 28% to 46%.

In conclusion, based on the results of this evaluation, women and men, smokers and nonsmokers suffer with similar prevalence rates of facet joint pain in chronic low back pain; whereas occupational injury patients suffer with lesser prevalence (28%) compared to patients with gradual onset (44%) of low back pain.

Keywords: Chronic low back pain, facet joint pain, controlled diagnostic blocks, comparative local anesthetic blocks, smoking, occupational injury

Low back pain is a multifactorial disorder with many possible etiologies. Tissues in the lower back capable of transmitting pain include facet joints, discs, nerve root dura, ligaments, muscles, and fascia (1). The existence of facet joint pain, along with its prevalence, has been a topic of controversy in modern medicine. Facet joints meet strict criteria to be capable of producing chronic low back pain, and proven diagnostic criteria exist for the diagnosis of facet joint pain. Bogduk (2) postulated that, for any structure to be deemed a cause of back pain, it should have a nerve supply; should be capable of causing pain similar to that seen clinically, ideally in normal volunteers; should be susceptible to diseases or injuries that are known to be painful; and should have been shown to be a source of pain in patients, using diagnostic techniques of known reliability and validity. In 1911, Goldthwait (3) recognized lumbar facet joints as potential sources of back pain. Subsequently, in 1927, the Italian surgeon, Putti (4), published his experiences on articular facet degeneration as a cause of pain, which supported the findings of Goldthwait. The term “facet syndrome” was coined by Ghormley (5) in 1933 defining the specific pattern of low back pain with or without sciatic pain, particularly occurring suddenly after twisting or rotatory strain of the lumbosacral region. In spite of the disc’s overshadowing the spine as the causative factor for low back pain, in 1941 Badgley (6) suggested that facet joints themselves could be a primary source of pain separate from the nerve compression component and made a plea for continuing focus on the facets in order to explain the large number of patients with low back pain whose symptoms were not due to a ruptured disc. In 1963, Hirsch et al (7) showed that injection of hypertonic saline in the region of the facet joints produced pain along the sacroiliac and gluteal areas with radiation to the greater trochanter. Subsequently, Mooney and Robertson (8) in 1976, McCall et al (9) in 1979, Marks (10) in 1989, and Fukui et al (11) in 1997 confirmed causation of low back pain and
its relief describing various pain patterns with injection into facet joints.

The prevalence of persistent low back pain secondary to the involvement of lumbosacral facet joints has been described in controlled studies as varying from 15% to 52% based on types of population and settings studied (12-22). Based on the results available thus far, there has been significant variability among different populations, with lower rates reported among adults, injured, and postlumbar laminectomy syndrome sufferers. However, no significant differences were noted among obese and nonobese patients and patients with or without somatization. Based on the available literature, it appears that there may be differences between injured patients compared to the patients with low back pain of gradual onset (12, 18). However, the issue has not been evaluated systematically. In addition, it has not been evaluated whether there are any significant differences between men and women and smokers and nonsmokers.

Thus, this evaluation was undertaken to evaluate the effect of gender, occupational injury, and smoking on incidence and prevalence of facet joint pain in patients suffering with chronic low back pain and presenting to interventional pain management settings, by analyzing data from two previous studies (16, 18).

METHODS

This evaluation was designed to combine 320 patients from two studies (16, 18). Both studies were designed to evaluate patients with a chief complaint of chronic low back pain, in a nonuniversity setting, in one private comprehensive interventional pain management practice. The first study evaluated 200 consecutive patients (16), whereas the second study (18) evaluated 120 randomly allocated patients. In both studies, patients younger than 18 years or older than 90 years, those who exhibited neurological deficits, those who had pain for less than 6 months, and those who presented with a definite diagnosis based on findings of radiological or neurophysiologic testing were excluded. Evaluation of patients included a comprehensive evaluation with completion of standard, comprehensive pain management questionnaires; history, physical examination, and evaluation of the results of all procedures and investigations. All patients underwent conservative management which included physical therapy, chiropractic treatment, exercises, drug therapy, bedrest, etc., which failed to provide significant improvement. All patients consented and participated in the studies after the nature of the studies and the potential hazards of the procedures were explained to them.

All 320 patients underwent diagnostic blocks using lidocaine 1%, initially followed by bupivacaine 0.25% on separate occasions, usually 2 to 4 weeks apart. The blocks were performed on the ipsilateral side in patients with unilateral pain or bilaterally in patients with bilateral or axial pain. The blocks were performed with a 22-gauge, 3.5-in spinal needle under intermittent fluoroscopic visualization at each of the medial branches at the L1 through L4 and L5 dorsal ramus. Each nerve was infiltrated with 0.4 to 0.6 mL of either 1% lidocaine or 0.25% bupivacaine with or without adjuvants. Following each block, the patients were examined for pain by having them perform previously painful movements. In order to be considered positive, the response to a block had to last longer than 2 hours when lidocaine was used; and either longer than the duration of effect from lidocaine, or at least 3 hours, when bupivacaine was used.

The combined data were analyzed to evaluate the effect of gender, occupational injury, and smoking on prevalence of facet joint pain in chronic low back pain (16, 18). Data were recorded on a database using Microsoft® Access®; the SPSS Version 9.0 statistical package was used to generate frequency tables, and the chi-squared statistic was used to test the significant difference between groups. Results were considered statistically significant if the p-value was less than .05.

RESULTS

Gender

As shown in Table 1, there were 121 men and 199 women. The prevalence of facet joint pain based on double block paradigm was 38% (95% CI, 29% to 47%) in men, and 43% (95% CI, 36% to 50%) in women, with a false-positive rate of 33% (95% CI, 22% to 44%) in men and 46% (95% CI, 37% to 55%) in women, with no significant differences noted.

Occupational Injury

As shown in Table 2, there were 79 patients with occupational injury, with 160 patients in the gradual-onset group. The prevalence of facet joint pain as 28% (95% CI, 18% to 38%) in the occupational injury group compared to 44% (95% CI, 36% to 52%) in the gradual-onset group which was statistically significant, with a false-positive rate of
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28% (95% CI, 16% to 40%) in the occupational injury group and 46% (95% CI, 36% to 56%) in the gradual-onset group.

Smoking

Only nonsmokers and heavy smokers with greater than 30 pack years of smoking history were evaluated. Ninety-two patients were nonsmokers, compared to 74 who were heavy smokers. Patients who had a history of less than 30 pack years of smoking and previous heavy smokers, who were currently not smoking were not included. The results showed (Table 3) that there was no significant difference in the prevalence of facet joint pain in chronic low back pain among these groups, with 43% (95% CI, 33% to 53%) of prevalence in the nonsmoker group, compared to 41% (95% CI, 30% to 52%) in the heavy smoker group, with false-positive rates of 46% (95% CI, 32% to 60%) and 39% (95% CI, 25% to 53%).

DISCUSSION

Many epidemiologic studies have focused on risk factors for low back pain, attempting to evaluate occupational, nonoccupational, and psychosocial factors in order to analyze the various risk factors of low back pain. Determinants of low back pain include physical factors, social demographic characteristics, individual factors, habits, poor general health; and psychosocial factors. Among these, occupational injury, gender, and smoking are considered significant.

Occupational low back pain in industry is a major problem. State-by-state surveys in the United States show that

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**Table 1. Evaluation of influence of gender: Comparison of the results of facet joint nerve block’s (single blocks with lidocaine and double blocks with lidocaine and bupivacaine)**

<table>
<thead>
<tr>
<th></th>
<th>Single Block</th>
<th>Double Block</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Men (121)</td>
<td>Women (199)</td>
</tr>
<tr>
<td></td>
<td>Positive</td>
<td>Negative</td>
</tr>
<tr>
<td>Positive</td>
<td>46</td>
<td>25</td>
</tr>
<tr>
<td>Negative</td>
<td>50</td>
<td>61</td>
</tr>
<tr>
<td>Prevalence</td>
<td>38% (95% CI 29% to 47%)</td>
<td>43% (95% CI 36% to 50%)</td>
</tr>
<tr>
<td>False positive rate</td>
<td>33% (95% CI 22% to 44%)</td>
<td>46% (95% CI 37% to 55%)</td>
</tr>
<tr>
<td>Specificity</td>
<td>67% (95% CI 56% to 78%)</td>
<td>54% (95% CI 45% to 63%)</td>
</tr>
</tbody>
</table>

* Indicates significant difference

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**Table 2. Evaluation of influence of occupational injury: Comparison of the results of facet joint nerve block’s (single blocks with lidocaine and double blocks with lidocaine and bupivacaine)**

<table>
<thead>
<tr>
<th></th>
<th>Occupational Injury (79)</th>
<th>Gradual Onset (160)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Double Blocks</td>
<td>Double Blocks</td>
</tr>
<tr>
<td></td>
<td>Positive</td>
<td>Negative</td>
</tr>
<tr>
<td>Positive</td>
<td>22</td>
<td>16</td>
</tr>
<tr>
<td>Negative</td>
<td>41</td>
<td>49</td>
</tr>
<tr>
<td>Prevalence</td>
<td>28% *(95% CI 18% to 38%)</td>
<td>44% *(95% CI 36% to 52%)</td>
</tr>
<tr>
<td>False positive rate</td>
<td>28% *(95% CI 16% to 40%)</td>
<td>46% *(95% CI 36% to 56%)</td>
</tr>
<tr>
<td>Specificity</td>
<td>72% *(95% CI 60% to 84%)</td>
<td>54% *(95% CI 44% to 64%)</td>
</tr>
</tbody>
</table>
occupational low back pain constitutes 9% to 26% of all industrial insurance claims and 26% to 42% of all wage-replacement and health-care costs (23). The rate of low back pain associated with high physical demands at work is high in the United States (24-26), even though Murphy and Bolinn (27) reported that the US estimate rate of annual low back pain claims decreased 34% between 1987 and 1995, while the annual low back pain claims costs during the same time decreased 58%.

Health statistics routinely demonstrate that women have a higher morbidity from acute and nonfatal diseases than men do. Indeed, in most epidemiological pain research, women are more likely than men to report a variety of temporary and persistent pains in addition to moderate or severe pains from menstruation, pregnancy, and childbirth (28). However, only small gender differences were reported in the majority of epidemiological investigations of the prevalence of low back pain (29). While many studies (28, 30-36) reported higher rates of incidence of low back pain in women, some studies found that men reported more low back pain at the time of the interview than women (37, 38). However, in spite of these differences in reporting, it appeared that the prevalence of back pain may be more strongly related to occupational factors than to gender (39).

Approximately 26% of all the adults in the United States smoke, and recent estimates suggest that prevalence is increasing in youth. In an experimental animal study, Holm and Natchemson (40) suggested that cigarette smoking not only significantly affects the circulation outside the intervertebral disc, where the most pronounced effect is the reduction in solute-exchange capacity, but also significantly deteriorates the cellular uptake rate and metabolic production within the disc. Hambly and Mooney (41) reported that cigarette smoking will create a reduced pH in the rabbit’s intervertebral disc. Battie et al (42) showed that disc degeneration was 18% greater in the lumbar spine in smokers compared with identical twins who were nonsmokers. Leboeuf-Yde (43) conducted a systematic review of the epidemiologic literature on smoking and low back pain in which he reviewed 47 epidemiologic studies from 41 journal articles, published between 1974 and 1996, showing no consistency of statistically significant positive associations between smoking and low back pain. However, she also showed that the association, when present, was usually weak and clearly apparent only in large study samples. A statistically significant positive association with smoking was reported for 34% of the 97 low back pain variables in cross-sectional studies and for 35% of the 26 low back pain variables in longitudinal studies. This analysis showed consistent evidence of a causal link between smoking and low back pain only in the study with the largest sample. Thus, smoking is considered as a risk factor and not a cause of low back pain, and it is a weak risk factor.

The results of our study showed no significant difference between men and women with a prevalence of facet joint pain, smokers, and nonsmokers; but it showed significantly lesser prevalence of facet joint pain in patients sustaining occupational injuries. Thus, these results indicate and confirm previous evaluations by Schwarzer et al (12, 15) showing lower prevalence of facet joint pain in patients after the injury.

We may be criticized for using controlled, comparative local anesthetic blocks of facet joint nerves instead of placebo-controlled diagnostic blocks, or intra-articular injections for facet joint pain. However, one of the reported

Table 3. Evaluation of influence of smoking: Comparsion of the results of facet joint nerve block (single blocks with lidocaine and double blocks with lidocaine and bupivacaine)

<table>
<thead>
<tr>
<th></th>
<th>Non-smoker (92)</th>
<th>Heavy smokers (74)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Double Blocks</td>
<td>Double Blocks</td>
</tr>
<tr>
<td>Positive</td>
<td>40</td>
<td>30</td>
</tr>
<tr>
<td>Negative</td>
<td>24</td>
<td>17</td>
</tr>
<tr>
<td>Prevalence</td>
<td>43%(95% CI 33% to 53%)</td>
<td>41%(95% CI 30% to 52%)</td>
</tr>
<tr>
<td>False positive</td>
<td>46%(95% CI 32% to 60%)</td>
<td>39%(95% CI 25% to 53%)</td>
</tr>
<tr>
<td>Specificity</td>
<td>54%(95% CI 40% to 68%)</td>
<td>61%(95% CI 47% to 75%)</td>
</tr>
</tbody>
</table>
drawbacks of local anesthetic control is that comparative local anesthetics may not be implementable for intra-articular blocks because it is not known whether the placement of local anesthetic in a relatively avascular environment such as a joint space affects its expected duration of action. Thus, we employed medial branch blocks utilizing comparative local anesthetic agents in all cases. Placebo-controlled blocks are not feasible in practice settings in the United States.

We may be criticized for our sample selection including two previous studies. We felt that a large sample would provide better data than comparison of each factor individually in small groups of patients.

CONCLUSION

The results of this study showed that the facet joint is the common pain generator in chronic low back pain, with no significant difference noted based on gender, on smoking, but with significant difference noted with occupational injury compared to gradual onset of low back pain, with 28% prevalence of facet joint pain in occupational injury patients compared to 44% in the gradual onset group.

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