Prospective Evaluation

Analysis of Psychological Characteristics Impacting Spinal Cord Stimulation Treatment Outcomes: A Prospective Assessment

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Background: Psychological factors are recognised as influencing the outcome of spinal cord stimulation (SCS) although there is currently no consensus as to which factors impact upon SCS efficacy.

Objective: To identify psychological characteristics that may impact the efficacy of SCS.

Study Design: Prospective evaluation.

Setting: Single secondary care center in Dudley, United Kingdom.

Methods: Patients: Seventy-five patients were initially recruited and 56 patients (31 women and 25 men) were followed-up for 12-months. Intervention: SCS for the management of chronic non-cancer pain. Main Outcome Measures: Outcome measures assessed at baseline, 6 months, and 12 months following SCS implantation included the visual analogue scale (VAS), Oswestry disability index (ODI), hospital anxiety and depression (HAD) scale, and the pain coping strategies questionnaire (PCSQ).

Results: Statistically significant improvements were observed for the VAS (P < 0.001), ODI (P = 0.011), anxiety (P = 0.042), and depression (P = 0.010) in the HAD scale and for the subscales reinterpretting pain sensation (P = 0.018), control over pain (P = 0.001), and ability to decrease pain (P < 0.001) of the PCSQ. We observed that depression and autonomous coping (control over pain, ability to reduce pain, and catastrophizing) may impact sensory aspects such as pain intensity and disability scores affecting the outcome of SCS treatment. Age at time of implant and duration of pain prior to implant were also found to impact SCS efficacy.

Limitations: It has been reported that loss of analgesia may be experienced within 12 to 24 months following SCS implantation and therefore, it would be of interest to follow patients over a longer period.

Conclusions: This study demonstrates that psychological aspects such as depression and autonomous coping may impact SCS treatment. Addressing these issues prior to SCS implantation may improve SCS long-term outcome.

Key words: Spinal cord stimulation, chronic pain, psychological characteristics, depression, autonomous coping

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One in 5 adults (19%) in Europe suffers from chronic pain and over one-third of European households have at least one chronic or acute pain sufferer (1). Successful treatment outcomes are difficult to achieve for chronic pain, causing a great impact on an individual’s health, health care services, and society (2). Spinal cord stimulation (SCS), a treatment for chronic pain, has been in use since 1967 (3). The SCS electrodes are connected to a pulse generator and programmed in anode and cathode combinations to create an electric field stimulating the dorsal root and dorsal column fibers in the spinal cord (4). It has been suggested that stimulation in this area results in supraspinal mechanisms, reduced activity in the ascending pain pathway (spinothalamic tract), and increased activity in the descending antinociceptive pathway (5). Animal studies have shown inhibition of hyperexcitatory actions in the dorsal horn and increased levels of GABA released (6). SCS is an expensive and invasive therapy; therefore, careful selection for suitability is imperative. A trial period usually takes place in most centers prior to SCS implantation to investigate the efficacy of the treatment based on pain reduction. Although a successful trial may be achieved initially, this does not appear to guarantee long-term success. Loss of analgesia can be experienced after 12 to 24 months (7,8).

Reduced efficacy of SCS may be attributed to technical factors, resulting in loss of target area paresthesia and analgesic efficacy (8). More recently, it has been suggested that the impact of psychological factors should be taken into consideration when investigating SCS efficacy (9,10). Specific cognitions and methods of coping are understood to interact with the pain experience, impacting on the response to pain and subsequent response to treatment (11). A recent systematic review observed a lack of consistent evidence to suggest any particular psychological factors linked with SCS efficacy (12). Depression was suggested by the majority of the studies included in this review as a possible impacting factor; however, it was concluded that successful treatment could modify the level of depression if it was a state in reaction to the pain rather than a trait characteristic. The most common psychological factor associated with the onset and continuation of chronic pain is catastrophizing, alongside a lack of perceived internal control (13-16). It can therefore be hypothesized that certain psychological factors, specifically coping strategies may interact with the experience of pain and response to SCS. The aim of this prospective study was to identify psychological characteristics that may impact the efficacy of SCS.

**Methods**

**Patients**

Patients over 18 years of age, with chronic neuropathic pain were recruited for this prospective, longitudinal, cohort study following assessment by a multidisciplinary team and referral for SCS trial. The multidisciplinary team is comprised of a pain consultant, physiotherapist, and a clinical psychologist to evaluate the different facets of pain and to determine patient suitability for SCS according to NICE TA159 (17). Patients are excluded on the grounds of being medically unfit for implant surgery, having unrealistic expectations of the treatment, lack of comprehension, and unrealistic beliefs surrounding their pain. Prior to full implantation of the implantable pulse generator (IPG), under local anesthesia with sedation, the SCS electrodes are implanted percutaneously and positioned to obtain maximal paresthetic coverage of the painful area. Electrical parameters are set to obtain maximal pain relief and trialled for one week. During the trial period if less than 50% pain relief is reported, the electrical parameters are altered to try to achieve this level of pain reduction. If more than 50% pain relief is reported consistently at the end of the trial week, the patient proceeds to have a fully implanted SCS. If less than 50% pain relief is reported, the leads are removed.

The study was explained and written informed consent was obtained from all participants. The patients completed questionnaires and rated their pain at baseline (one week prior to SCS trial), 6 months, and 12 months following SCS implantation. All data were collected during routine follow-up appointments. Ethical approval was granted by Birmingham, East, North and Solihull Research Ethics Committee (REC reference: 08/H1206/183).

Seventy-five consecutive patients were initially recruited to participate in the study. Diagnoses were failed back surgery syndrome (42.6%), complex regional pain syndrome (33.3%), and other (24.1%), which included, for example, arachnoiditis and coccydynia. Seven of the patients (9.3%) obtained less than 50% pain reduction during SCS trial despite adequate topographical mapping and, according to current recommendations, were not implanted (17). Twelve patients were lost to follow-up within one year following implantation (16%). A total of 56 patients were included in the final analysis.
Sensory and Psychological Measures

Assessments were carried out at baseline (one week prior to SCS trial), 6 months and 12 months following SCS implantation. Patients were asked to rate their average pain intensity on a 100 mm horizontal visual analogue scale (VAS) (18). The VAS has been shown to be a reliable and valid measure of subjective phenomena including chronic pain (18-20). Clinical changes were calculated from the VAS scores measured at baseline and after 12 months of SCS implantation (21). Clinically important changes were classified in accordance with a consensus statement that established a 10 – 20% decrease as minimally important, ≥ 30% as moderately important, and ≥ 50% as a substantial change (22).

The Oswestry Disability Index (ODI) was used to assess the level of pain interference with various activities of daily living. The ODI is a valid measure of condition-specific disability (23). The ODI consists of 10 items/activities with 6 levels (range 0 – 5). This questionnaire has been recommended as a tool to measure pain-related disability when considering areas other than and including low back pain (24). ODI scores between 0 – 20% were considered as minimal disability; 21 – 40% moderate disability; 41 – 60% severe disability; 61 – 80% crippled; and 81 – 100% as bed-bound or exaggerating their symptoms (23). A change from baseline of 10.5 points was considered as a minimal clinically important difference (25).

Anxiety and depression were measured using the Hospital Anxiety and Depression (HAD) scale (26). The HAD consists of 14 items allowing the patient to select how frequently they experience a particular situation (e.g., I feel tense and wound up: most of the time/a lot of the time/from time to time/not at all). The maximum score for each subscale (anxiety and depression) is 21. Scores can be divided into 3 ranges representing the severity of symptoms: normal (– 7), borderline (8 – 10), abnormal (≥ 11). The depression items of this scale focus on the anhedonic state, therefore avoiding the measurement of depression affected by a physical condition. The HAD has been considered a sensitive measure and suitable for assessing anxiety and depression in primary care patients and the general population (27). Internal consistency of the HAD scale has been examined with reports of Cronbach’s alpha coefficients between 0.80 – 0.93 for the anxiety scale and 0.81 – 0.90 for the depression scale (28). Zigmond and Snaith support the HAD usefulness for repeated administration at sequential follow-up clinics (26).

The Pain Coping Strategies Questionnaire (PCSQ) is 44 items designed to measure coping strategies such as diverting attention, reinterpreting pain sensations, coping self-statements, ignoring pain sensations, praying or hoping, catastrophizing, and increased behavioral activities (29). Each of these 7 subscales has a maximum score of 36 and a minimum score of 0, with higher scores indicating greater use of that particular coping strategy. Two additional subscales assess control over pain and ability to decrease pain, each of these items has a maximum score of 6 and minimum score of 0. Patients rate their thoughts and feelings about their pain using a 7-point scale ranging from 0 (never do) to 6 (always do that). The PCSQ has demonstrated high internal consistency and higher test-retest reliability when compared to other questionnaires including the multidimensional health locus of control questionnaire, the pain responses self-statements questionnaire, and the pain responses coping statements questionnaire (30,31).

Statistical Analysis

Repeated-measures ANOVA were performed to investigate changes in the variables between baseline, 6 months and 12 months follow-ups. Assumption of sphericity was verified through Mauchly’s test. If the assumption of sphericity were violated, the degrees of freedom were corrected using Greenhouse-Geisser estimate of sphericity.

Preliminary checks on assumptions for multiple regression, and regression diagnostics, were assessed. These included checks on multicollinearity of predictors (using tolerance statistics), checks for influential cases using Cook’s D, checks for independence of residuals using the Durbin-Watson statistic, and checks for linearity of relationship and heteroscedasticity of residuals using a plot of standardized residuals against standardized predicted values. Histograms of residuals were checked to ensure normality of the residuals for each analysis also. None of these checks and diagnostics revealed any breach of assumptions for any of the hierarchical multiple regression analyses that were carried out.

The 9 subscales of the PCSQ were subjected to a Principal Component Analysis for coping strategies subscales at the baseline stage. The main reasons for doing this were to avoid the higher likelihood of type I errors on a per predictor basis if regression analysis were conducted using individual subscales for the perceived coping strategies scale and to produce independent factor scores summarizing subscale variability.
thus avoiding multicollinearity issues that might arise if regression analysis using the PCSQ was conducted at an item based level. For both principal component analyses 2 factors were selected for varimax rotation, judged by visual inspection of a scree plot of eigenvalues. The plot of eigenvalues in each instance revealed a clear and marked jump in eigenvalues from factor 3 to factor 2. In both component analyses the first factor was clearly identified with loadings on subscales relating to cognitive and behavioral strategies (CBS) for coping with pain, while the second factor was identified with loadings on subscales relating predominantly to an autonomous coping strategy (ACS), which broadly centered on the patient’s belief that they had the capacity to decrease their pain. Factor scores were calculated for each patient in the study using the regression method.

For the hierarchical regression the demographic variables gender, age at time of implant, and duration of pain prior to SCS treatment were entered in stage one of the regression analysis. This was considered particularly important in the case of the gender variable as any gender differences in predicted pain scores would imply the existence of heterogeneous subsamples which might bias the estimate of the relationship between the psychological predictors entered into stage 2. In stage 2 of the analysis the psychological variables HAD anxiety, HAD depression, CBS, and ACS were entered. At both stages variables were entered using standard forced entry rather than stepwise techniques.

Data is reported as mean ± standard error of mean (range). Statistical significance was judged at 5% level. Statistical tests were performed using the IBM Statistical Package for the Social Sciences (SPSS) software (version 19.0, SPSS Inc., Chicago, IL, USA).

**Results**

There were no statistically significant differences between patients who obtained ≥ 50% pain reduction during the SCS trial and those who had an unsuccessful SCS trial ($P > 0.05$). Fifty-six patients (31 women and 25 men) were included in the final analysis. The mean age and duration of pain prior to SCS implant were 47.4 ± 1.5 years (24 – 70) and 8.2 ± 0.8 years (1 – 25), respectively. Pain topography included buttock/leg/foot ($n = 25$), back ($n = 6$), arm/hand ($n = 5$), head/neck ($n = 2$), and multiple sites ($n = 18$). Statistically significant improvements were observed for pain as measured with the VAS, disability, anxiety, depression, reinterpreting pain sensation, control over pain, and ability to decrease pain (Table 1).

At the 12 month follow-up, minimally important clinical changes (≥ 10% and < 30%) in pain were expe-

<table>
<thead>
<tr>
<th>Table 1. Sensory and psychological characteristics assessed.</th>
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<td><strong>Variable</strong></td>
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<tr>
<td><strong>Visual Analogue Scale</strong></td>
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<td><strong>Oswestry Disability Index</strong></td>
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<td><strong>Hospital Anxiety and Depression</strong></td>
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<td><strong>HAD anxiety</strong></td>
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<td><strong>HAD depression</strong></td>
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<td><strong>Pain Coping Strategies Questionnaire</strong></td>
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<tr>
<td><strong>Diverting attention</strong></td>
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<td><strong>Reinterpreting pain sensation</strong></td>
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<td><strong>Catastrophizing</strong></td>
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<td><strong>Ignoring sensations</strong></td>
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<td><strong>Praying or hoping</strong></td>
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<td><strong>Coping self-statements</strong></td>
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<td><strong>Increased behavioural activities</strong></td>
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<td><strong>Control over pain</strong></td>
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<td><strong>Ability to decrease pain</strong></td>
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Mean ± SEM (range)

* $P < 0.05$; ** $P < 0.005$; *** $P < 0.001$
rienced by 16 patients (28.6%), moderately important clinical changes (≥ 30% and < 50%) were obtained by 5 patients (8.9%), and substantial clinical changes (≥ 50%) were observed by 14 patients (25%).

Based on the ODI classification of disability, there was a decrease in the number of patients with disability scores between 21 – 80% and an increase in the proportion of patients rating their disability as minimal (Table 2). Minimal clinically important changes (> 10.5 points) from baseline were observed at 12 months for 19 patients (33.9%).

Predictors of Pain Reduction (Table 3)

At stage one of the regression analysis the demographic variables did not significantly predict pain reduction at 12 months follow-up (R = 0.341, adjusted R-square = 0.116; F(3,53) = 2.320, P = 0.086). With the addition of the psychological variables at stage 2, the overall model was significant (R = 0.498, adjusted R-square = 0.248; F(7,49) = 2.310, P = 0.041). The examination of the individual regression coefficients at stage 2 indicated that both the variables age at time of implant (Beta = 0.330, t(49) = 2.576, P = 0.013) and

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<th>Table 2. Classification of patients at the different assessments according to ODI and HAD questionnaires.</th>
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<td><strong>ODI</strong></td>
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<tr>
<td>0 – 20% Minimal disability</td>
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<tr>
<td>21 – 40% Moderate disability</td>
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<tr>
<td>41 – 60% Severe disability</td>
</tr>
<tr>
<td>61 – 80% Crippled</td>
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<tr>
<td>81 – 100% Bed-bound (exaggerating)</td>
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<tr>
<td><strong>HAD Anxiety</strong></td>
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<tr>
<td>0 – 7 Normal</td>
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<td>8 – 10 Borderline</td>
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<td>≥ 11 Abnormal</td>
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<tr>
<td><strong>HAD Depression</strong></td>
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<td>0 – 7 Normal</td>
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<td>≥ 11 Abnormal</td>
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ODI, Oswestry Disability Index; HAD, Hospital Anxiety and Depression

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<td><strong>Stage</strong></td>
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**Summary statistics**

Model 1: R = 0.341, R2 = 0.116, F(3,53) = 2.320, P = 0.086
Model 2: R = 0.498, R2 = 0.248, F(7,49) = 2.310, P = 0.041 *

*P < 0.05
ACS factor score (Beta = 0.329, t(49) = 2.206, P = 0.032) were significant predictors of pain reduction following 12 months of SCS.

**Predictors of Improvement in ODI Scores (Table 4)**

Demographic variables entered at stage one of the regression analysis did not significantly predict ODI improvement at 12 months (R = 0.246, adjusted R-square = 0.061; F(3,53) = 1.140, P = 0.341). The psychological predictors entered at stage 2 generated a significant model (R = 0.579, adjusted R-square = 0.336; F(7,49) = 3.538, P = 0.004). The examination of the individual regression coefficients at stage 2 showed that the variables duration of pain prior to implant (Beta = 0.320, t(49) = 2.583, P = 0.013) and HAD depression (Beta = 0.470, t(48) = 2.728, P = 0.009) were significant predictors of ODI reduction at 12 month follow-up.

**Discussion**

This study demonstrates that SCS may provide statistically and clinically significant improvements in pain intensity and disability. Moreover, we observed that characteristics such as duration of pain prior to implant, age at time of implant, and psychological characteristics including depression and autonomous coping (control over pain, ability to reduce pain, and catastrophizing) may impact the outcome of SCS treatment based on pain intensity and disability. A recent study has found self-efficacy to have an impact on longer-term outcomes for SCS and depression and anxiety to have no significant impact (32). Previous studies have suggested similar concepts such as dispositional optimism and activity engagement aspect of acceptance as having an impact on reduction of perceived pain (33,34). These concepts describe a situation where patients do not allow pain to influence every aspect of their lives. Self-efficacy, activity engagement, and dispositional optimism can be likened to the autonomous coping factor (control over pain, ability to decrease pain, and catastrophizing) which was a significant factor in the current study.

The autonomous coping factor which comprises the variables PCSQ control over pain, PCSQ ability to decrease pain, and PCSQ catastrophizing was predictive of pain reduction at 12 months. This finding suggests that patients with increased perceived control over pain and ability to decrease pain alongside lower levels of catastrophizing at baseline achieve greater reductions in pain following 12 months of SCS. High levels of catastrophizing have previously been associated with increased pain intensity and poor treatment efficacy (13,14,35). Catastrophizing alone has not been found to be a predictor SCS outcome in a recent study (36). The authors however stated that the lack of effect may have been due to the fact that almost all patients scored highly on the catastrophizing scale. Catastrophizing may increase pain intensity as patients maintain attention to their pain, which results in rumination and subsequent magnification of painful sensations (15). Catastrophizing is also likely to interrelate with
decreased perceptions of control over pain and ability to decrease pain. Control over pain and catastrophizing have been reported as the most common psychological factors associated with the onset and continuation of chronic pain (13-16).

Age at time of implant and duration of pain prior to implant were found to impact reductions in pain and ODI scores. Older participants obtained higher pain reduction and decreased disability following 12 months of SCS. When investigating postoperative pain reports, it was observed that younger patients report higher levels of pain (37,38). This may be related with the higher expectations younger individuals may have regarding a treatment. Nevertheless, contradictory findings have been reported with increased aged correlating negatively with percentage of pain change 3 months following SCS implantation (39) or no role of age in predicting the outcome of SCS (40). Additional research is required to further elucidate the potential role of age in SCS outcome. Previous research has observed duration of pain to influence reduction of pain following implantation of the SCS (40). We do not intend to suggest that SCS should come earlier in the pain treatment algorithm, as many patients will obtain good pain relief with more conservative treatments. However, the time spent assessing if a patient’s pain relief is satisfactory before proceeding to the next therapy should be decreased.

The HAD depression score was found to be a significant predictor of reduction in disability at 12 months but not a predictor of pain reduction. Previous studies have observed that depression is associated with and impacts the experience and perception of pain (10,16,41). However, we did not assess if the patients’ depression was state or trait. A “trait” is recognized as a stable personality characteristic whereas a “state” is a temporary personality feature in response to environmental circumstances. A recent systematic review of psychological characteristics impacting SCS suggested that depression associated with the onset of pain may not be a complete contraindication for SCS (12). However, pre-morbid depression to the onset of pain may reduce the efficacy of SCS. An additional systematic review suggested that psychological factors, such as somatization, depression, anxiety, and poor coping, are important predictors of poor outcome (42).

There is good support for psychological factors affecting pain from both clinical work and neuroimaging, and also for SCS affecting pain at higher centers, including the anterior cingulate cortex (43-45). It is therefore reasonable to hypothesize that the pain relief achieved and psychological outcome are linked. Our focus was on constitutional psychological factors and their impact upon outcome. We can only conjecture as to the possible mechanisms. A neurochemical basis could explain less responsiveness due to greater diffuse noxious inhibitory control. A positive trial phase may occur due to an early placebo effect. The mechanisms of SCS however are still not completely understood (46,47).

This study has limitations which could be addressed by future research. It has been reported that loss of analgesia may be experienced within 12 to 24 months following SCS implantation and therefore, it would be of interest to follow patients over a longer period. We did not collect information from the notes on other treatments implemented or changes in medication. At this center oral rescue medication has been provided to the patients on an individual basis to cope with occasional flare-ups. Moreover, all of these patients have previously tried and failed more conservative options for the management of their pain. Therefore, systemic medication as well as other interventions that might have been undertaken during the study period would be occasional to cope with flare-ups and would therefore have limited impact in the results verified. Collecting information about the patients’ average daily use of SCS would also produce a more comprehensive report on the patients’ pain relief profile and investigate associations between psychological characteristics and average usage of SCS. Twelve patients were lost to follow-up. All of the patients were contacted prior to a follow-up to attend a review clinic to assess if the SCS was working adequately and data collection would occur at this time. The patients who were lost to follow-up were contacted several times to attend this clinic but failed to respond on all occasions. It would be beneficial to distinguish whether impacting psychological variables are state or trait as research investigating predictors of pain intensity in patients scheduled for elective abdominal surgery found that while state anxiety was a significant predictor of pain intensity, trait anxiety was not (48). Trait depression may impact long-term efficacy of SCS but needs further investigation. State depression should not be considered as contraindicative for implantation as it may improve with successful SCS. We did not include patients with unrealistic expectations of the treatment and unrealistic beliefs surrounding their pain as assessed by a multidisciplinary team including a clinical psychologist. Beliefs and expectations are associated with psychological variables and the inclusion of these patients could potentially lead to clearer psychological
predictors of pain relief. However, the inclusion of these patients is currently not recommended and would carry ethical concerns considering the invasiveness of therapy and unlikely patient benefit.

**CONCLUSION**

In conclusion, this study has observed that psychological characteristics such as depression and autonomous coping strategies may influence and predict the long-term efficacy of SCS. Also age at time of implant and duration of pain prior to implant were found to impact SCS outcome. Support for patients with low autonomous coping strategies and long-standing depression prior to implant may prove efficacious to long-term SCS outcome.

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